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~~A METHOD FOR COORDINATING NETWORK COMPONENTS~~

~~Background Information~~  
FIELD OF THE INVENTION

The present invention relates to a method for coordinating or  
for adjusting network components, in particular master and  
slave components, <sup>At</sup> at least one first logical component and one  
second logical component <sup>is</sup> being provided, each of which  
corresponds to a specific application and ~~which~~ can  
communicate with each other via the network on a  
communications plane that is essentially independent of ~~the~~ <sup>an</sup>  
application plane, <sup>as described in European Published Patent Application</sup>  
<sup>No. 0 399 491.</sup>

<sup>present</sup> The invention can be applied in wide areas of communications  
technology, but it is explained in greater detail, by way of  
example, only in relation to a CAN network system for use in  
the automotive area.

In systems of this type installed in a motor vehicle, there  
often arises the situation that only individual components of  
the network system carry out a restart, i.e., reset, in  
response to a failure. <sup>or fault</sup> A typical trigger for a reset of this  
type is the detection of an undervoltage condition, which can  
vary from component to component.

From this arises the fundamental problem to define specific  
communications mechanisms between the components, in order to  
achieve a restarting of the distributed applications as  
rapidly as possible. In this context, it is generally  
advantageous ~~as far as possible~~ to reproduce or ~~to~~ coordinate  
the state of the entire system before the reset. This is of  
particular significance for systems that have user  
interaction, for example via a display and operating element,  
in order to minimize the disturbances triggered by resets  
during operation, such as images on a display that are no

longer current, delays in the servicing of components, lost inputs, etc.

The problem underlying the present invention thus generally lies, in a network having distributed applications, in effectively coordinating the network components, corresponding to applications. This applies specifically to a restart after a failure in individual components.

In general, the following four cases must be detected and treated appropriately.

i) "Normal" start-up of all components (system start).

ii) Simultaneous failure in all components after systems start, e.g., as a result of an undervoltage for all components in the network.

iii) The failure in a partial system after system start, i.e., a failure condition in one or a plurality of components.

iv) A hardware reset after a first power-on or fatal failure.

First, the specific problem of a reciprocal coordination or synchronization of distributed applications and the logical components that correspond to the latter will be explained in greater detail.

In systems in which components communicate with each other via a network, for example, a bus system, a specific basic prerequisite for the method described here is a separation of communication and application within one component (see ISO 7490, Information Processing Systems -- Open Systems Interconnection Basic Reference Model, 1984).

At issue here are systems that communicate via a network, e.g., a bus system, communication and application being

defined as follows.

Communication denotes all functions that are required for the purpose of reliable data exchange with other components.

5 Typically, a stratification is used that is derived from the OSI model of the ISO (see above), i.e., the conversion from the physical layer to the application interface. For in the current approaches in the automotive area, only one subset of the OSI model is used, adjusted to the requirements of this application area, i.e., some layers remain "empty."  
10 Furthermore, as an expansion of the OSI model, a network management that includes the different layers is usually used, synchronizing the different components with respect to communication.

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5 *Application denotes*  
1 ~~By application is meant~~ the specific task of each component, e.g., the functionality of the CD player or the various functions of a car telephone.

20 In systems having at their disposal logical point-to-point (1:1) connections between the individual components, the "commands" of the application of a component A are relayed to component B via a connection of this type, component B then responding, e.g., with an application acknowledgment. An  
25 example is the activation, using an operating element, of a CD changer to play.

30 Advantageously, these 1:1 connections of the application plane are reproduced in the 1:1 connections of the transport layer (layer 4 in the OSI model). While the 1:1 transport connections can be set up or, in the case of failure, reset by both participating components, which corresponds to a symmetrical method, this does not apply to the application plane. Here, for example, only one component A -- the "master" -- is entitled to control one component B -- the "slave." This  
35 is especially true for switching the main states of the slave, *for example,*  
~~such as~~ "on" and "off."  
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Advantageously, a system of this type is based on network management, which also distinguishes between master and slave functionality. In this case, an "application master" is usually also simultaneously a "network management master." In addition, however, systems are <sup>Possible</sup> ~~quite conceivable~~ that, in network management, recognize only equal-access stations, in which therefore the master/slave distinction is limited to the application plane. A typical example for the latter network management method <sup>is</sup> ~~can be found in the term~~ "decentralized network management," on CAN networks in the chassis area of the automotive industry.

Unless otherwise expressly stated, in what follows the designations "master" and "slave" always apply to the application plane.

Therefore, a relatively simple system is composed of one master and <sup>at least one slave</sup> ~~one or a plurality of slaves~~, employing in each case a 1:1 connection between master and each slave. Of course, more complex systems can be constructed, that are composed of a plurality of master components having the same or even different slaves. In this context, however, the assumption is that for every logical connection, it is unambiguously established, which component is the master and which the slave. In this manner, a hierarchical system can then be formed out of master, sub master(s), and slaves, as is described in <sup>German Published Patent Application No.</sup> ~~the prior application German Patent~~ 196 373 12.

Usually, the master is largely responsible for the coordination of network-wide applications. For the slave, it is sufficient to detect the reset of the master, for example, via a network management service.

For the slave, this leads, for example, to the initiation of certain emergency functions or ~~even~~ to an autonomous shutdown.

The master detects the reset of the slave component either

through a cyclic querying of the slave status or through a fresh communication set up, initiated by the slave (e.g., a communication system having network management and a transport protocol in accordance with the prior applications, German Application No. Patent 41 31 133 or German Patent 196 373 12).

~~The above-mentioned approach is~~  
~~In the above-mentioned approach, the fact has been proven to~~  
~~be disadvantageous that the cyclic querying of the slave~~  
status by the master is cumbersome and communications-intensive, since usually no change of status is present. Furthermore, this querying mechanism is inflexible, since generally only components are queried that have already been installed.

Finally, a fresh communication setup by the slave, as an alternative to the above cyclic querying mechanism, brings with it the disadvantage that, except for the information that the communication has again been <sup>established</sup> achieved, the master receives no messages concerning the cause of the reset and/or its prehistory, i.e., by way of example, the previous application status.

The type of local reset can be detected by the master, e.g., through the entry of local status information into a non-volatile memory, such as an EEPROM, and through evaluation of the entry at a subsequent restart.

If, after a reset, the master, for example, discovers the entry, "system started and in normal operation," then it can infer a restart as a result of a failure condition. On the other hand, if "system is shut down" is entered, then it is a question of a normal startup. In the case of a failure, the problem is the limited memory possibilities in the master.

In general, only the local status can be saved, since, for the status storage of all connected slaves, the time and/or the memory capacity is usually not sufficient. In addition, in the

event of a failure-reset of the master, having recourse to status information concerning the slave stored, for example, in an EEPROM is risky, since the slave could also have carried out a reset and thus the stored slave state deviates from the current state.

Since, in the above standard approaches, no detailed and stored status information concerning the slave is available, the master will generally restart or initialize the slave application.

Since, as a result, the previous settings generally must be reset, this signifies the loss of knowledge concerning the prehistory of the slave, i.e., the original operating state or status of the application can no longer be derived. Noticeable delays generally result from the restart of the slave application.

### SUMMARY OF THE INVENTION

#### Advantages of the invention

In contrast to the <sup>conventional</sup> ~~known~~ approach, the method according to the <sup>present invention</sup> ~~invention having the features of Claim 1~~ has the advantage that it can efficiently reinstate the prior application state (application status), for example of a slave, before the occurrence of a failure at any point in the network.

In this manner, it is made possible, for example, to carry out a reset, if appropriate, only locally at the slave in <sup>question. The reset is not</sup> ~~question, the reset not being~~ noticed by the user of the system -- for example, an automobile driver --, since a global reset can be dispensed with.

Through the controlled reset of the slave that has failed for a short time, there generally results a time advantage in comparison to the usual approaches, in which all running applications must be initialized once again. Furthermore, additional possibilities for network-wide diagnoses are

generated.

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According to the <sup>Present</sup> invention, as a result of the transmission of the prehistory, <sup>for example,</sup> preferably in connection with a network-status table in the master, a reliable detection of new components in the network is also possible without great configuration expense for the master. Since, in the information message, according to the present invention which in the following <sup>text</sup> is also designated as startup info, it is advantageously  
10 communicated as to whether a component is participating in the network operation for the first time, the master can react accordingly, e.g., by releasing new operating menus.

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In addition, the method according to the present invention <sup>Provides</sup> ~~makes possible~~ a locking of applications in certain states, <sup>for example,</sup> in the event that more than one master accesses a slave. This applies especially to the case in which a master has brought the slave into a diagnosis mode. In this case, the slave is generally no longer controllable by a further master. For this  
20 master, this is also directly evident from the startup info, e.g., after a reset. In addition, the startup info is suitable ~~basically~~ for the transmission of ~~so-called~~ semaphores, which are important for the synchronization of distributed applications and for processes in the data-processing  
25 technical meaning.

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The concept underlying the present invention lies in <sup>performing</sup> ~~resetting or configuring~~ <sup>carrying out</sup> the reset on the application plane by the master or masters, taking into account the currently reported application status, through the fact that the slave or slaves save their current status in a memory, <sup>are</sup> ~~is actualize~~, in each  
35 case, after a change, and transmit startup info to the master ~~after certain events, e.g., a failure in the sphere of the slaves.~~

In this context, the successful set up of the 1:1 connection between master and slave is used as the trigger for the latter

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~~message. Certain network management telegrams, hereinafter designated as watchdog telegrams, but also, for example, a circuit line controlled by the master, can in turn be used as the trigger proceeding from the master for this connection setup.~~

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Furthermore, this trigger can also be grounded in the slave. A typical example is the waking up of a network by a telephone component. In this case, the initiative to the connection setup proceeds solely from the slave.

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~~In the subclaims, there can be found advantageous refinements and improvements of the method indicated in Claim 1.~~

*an advantageous embodiment*  
In accordance with ~~a preferred refinement~~, the information of the transmitted information message ~~is stored~~ *is stored* in the first logical component in the non-volatile memory.

*advantageous embodiment*  
According to a further ~~preferred refinement~~, the first logical component uses the information of the transmitted information message to reconstruct the state before the setup of the communications connection.

~~According to a further preferred refinement, the information message contains information regarding the initiative to set up the communications connection.)~~

According to a further preferred refinement, the first and second logical components stand in a master-slave relationship ~~to each other.~~

*advantageous embodiment*  
According to a further ~~preferred refinement~~, the information message, after a reset in an application that has already been started, is transmitted to at least one of the first or the second logical components.

~~According to a further preferred refinement, the information~~



~~message contains information concerning whether the second component has carried out a hardware reset.~~

According to a further preferred refinement, certain  
5 information that becomes the content of the information message is stored in a non-volatile memory of the second logical component.

According to a further preferred refinement, the content of  
10 the non-volatile memory of the second logical component is actualized in each case that a change of the application status of the second logical component arises.

According to a further preferred refinement, the information message contains further information regarding the prehistory  
15 of the second component.

*advantageous*  
Particularly *present* preferred applications of the method according to  
20 the invention lie in measuring and/or monitoring systems, in automobile on-board information systems, and in network-wide failure location and/or failure diagnoses of network components of systems of this type.

#### ~~Drawings~~

*Ins 24*  
Exemplary embodiments of the invention are depicted in the  
drawings and are explained in greater detail in the following description.

30 The contents are as follows:

Figure 1 depicts a schematic representation of the participating network components in accordance with a first embodiment of the method according to the  
35 invention, namely a master, which is connected to a ~~slave.~~

Figure 2 depicts the temporal course of the method according to the invention in accordance with the first embodiment, progressing temporally from top to bottom in a reset of the slave as a consequence of an undervoltage.

#### ~~Description of the Exemplary Embodiments~~

Figure 1 depicts a schematic representation of the participating network components in accordance with a first embodiment of the method according to the invention, namely a master, which is connected to a slave.

*Figure 1 shows a radio 1, a CD*

*In Figure 1, reference numeral 1 designates a radio, 2 a CD changer, 3 a communications connection between radio 1 and CD changer 2, and 4 and 5 each a non-volatile memory.*

The relatively simple system contains radio 1 as ~~master~~ *Master* and ~~connected~~ CD changer 2 as slave of radio 1, and a communications connection 3 connecting both network components 1, 2 to each other, in the form of a bus line. In addition, both radio 1 *and* ~~as well as~~ CD changer 2 have at their disposal non-volatile memory 4 and 5, respectively, which could be realized, for example, in the form of buffered SRAMs or EEPROMs. Memories 4, 5 function to store data, whose evaluation by master 1 functions to coordinate network components 1, 2.

Figure 2 depicts, in schematic form, the temporal course of the method according to the present invention in accordance with the first embodiment, progressing temporally from top to bottom in a reset of the slave as a consequence of an undervoltage.

*shows*  
In Figure 2, ~~6 designates~~ *6* a watchdog telegram, i.e., a request of radio 1 to CD changer 2, to set up or maintain a communication.

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5 The left exterior line represents application interface 1A of radio 1, the second line from the left represents communications interface 1K of radio 1, the third line from the left <sup>represents</sup> communications interface 2K of CD changer 2, and the right exterior line <sup>represents</sup> application interface 2A of CD changer 2.

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10 In the beginning, and in ~~the~~ <sup>2</sup> Figure above  $t_1$ , the system is initialized and both components, radio 1 and CD changer 2, are in operation. In CD changer 2, its status, for example, "Play," is stored in non-volatile memory 5. From radio 1, watchdog telegrams 6 are cyclically transmitted to CD changer 2.

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20 At time point  $t_1$  itself, an operational breakdown occurs, here an undervoltage in CD changer 2, which makes a reset of CD changer 2 necessary. As soon as this undervoltage is detected as such, in addition to the already stored current application state "Play" of CD changer 2, the desired further information concerning its prehistory, e.g., "failure in slave," as well as the last position of the CD scanning system, is stored in its non-volatile memory 5.

25 <sup>In response</sup>  
~~In this regard, it should be also explained that in response~~  
to an undervoltage arising in a component, the supply voltage in general does not fall to zero volts. The reason for this is a buffering of the supply voltage. In response to light undervoltages, certain measures can be initiated for saving current data in the non-volatile memory (if available).

30 <sup>a.</sup>  
Associated with this is usually a reset of the application and/or ~~of~~ the communication (e.g., <sup>disconnecting</sup> ~~dismantling~~ of the logical connections). If the voltage once again increases, then the application and, if appropriate, the communication must be re-initialized ("warm start").

35 In this context, initialization of the application signifies a preparation of the application and, if appropriate, a start of the application (generally no autonomous application start in

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a slave). The initialization of the communication signifies the ~~carrying out~~ <sup>performing</sup> of preparations for communication with other network components, e.g., a connection setup.

5 Only if the supply voltage falls below a critical value is there a danger of a hardware reset of the microcontroller as well as the loss of all data in the volatile memory (RAM).  
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a After a hardware reset, the components must carry out a ~~so~~  
10 ~~called~~ "cold start," in which, generally, a specific initialization routine must be traversed. A basic initialization of this type relates to the components on the lowest plane, e.g., setting/re-setting of the  $\mu$ C ports, etc. If, for example, in this routine, a flag is set in RAM, the possibility exists of distinguishing a cold start (loss of all data if no non-volatile memory is available) from a warm start (partial loss of data). The concept 'reset' should therefore not be equated necessarily with a hardware reset of the microcontroller.

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20 CD changer 2 at  $t_2$  now receives a watchdog telegram 6 from radio 1 and consequently sets up the connection to radio 1 again.

At  $t_3$ , radio 1 acknowledges the connection to CD changer 2.  
25 Connected to this is a re-initialization of the communications connection in radio 1.

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a 30 At  $t_4$ , CD changer 2 ~~then~~ transmits the information message, the start-up info, to radio 1. Radio 1 processes this information message, and, as a consequence, transmits to CD changer 2 at  $t_5$  the appropriate application messages to restore the previous state before the occurrence of the undervoltage, for example, the commands "switch on" and "play." Thus the state is restored in which the system was before the onset of the  
35 undervoltage, disturbing the system.

As support for the functional sequence described here, it

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5 should be noted that as a rule it is not useful that the slave attempts on its own to restore its prior state completely. The ~~background~~ reason is that it is possible that a failure has occurred in the master as well (or in another, ~~here~~ undepicted, component), so that the various applications are no longer synchronized. For example, it would not be sensible if the CD changer were to restore its prior state "play" autonomously, but the radio, as a result of its internal reset, were no longer to process the audio signals of the CD changer.

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5 The essential content of the information message, "start-up info," is the current application state of CD changer 2 or ~~of~~ the slave, to the extent that the content is significant for the operation of CD changer 2 from the point of view of radio 1 or ~~of~~ the master. Significant application main states, from the point of view of this master, are, for example, on/off, i.e., they indicate to radio 1 whether the slave application is available for the master ~~or not~~.

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20 However, other specific application states can also be activated and, accordingly, reported to the master, such as the restitution of a CD changer (play) in the present exemplary embodiment or perhaps a diagnosis mode.

25 Further specific states of the slave can denote that the slave possesses only a limited functional scope, since, for example, the application software must still be loaded from a CD-ROM or because a locking of the slave exists on the part of other logical connections, as was mentioned above.

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35 Likewise, diverse states of the human-machine interface of the slave can be displayed to the master ~~in such a manner~~, for example, <sup>as</sup> menus or <sup>in</sup> windows on a screen, or the current layout of the softkeys in the system.

2 An optional constituent component is the ~~so-called~~

initialization initiation. This is a constituent component that indicates who ultimately has initiated the setup of the communications connection.

5 This initiation can be grounded both in the master as well as in the slave. In the first case, which represents the normal use and underlies the above example, the master provides the initialization initiation, e.g., through a network management mechanism in the form of a watchdog telegram. An example for  
10 the second case is a <sup>slave waking up</sup> ~~waking up by a slave~~, which, for example, is triggered by the connection-setup-telegram of the slave. Based on the transmitted information "initialization initiation by the slave," the master in the latter case can interpret the unexpected communication setup by the slave as a  
15 wake-up and can distinguish it from failure cases.

A further optional constituent component describes the prehistory of the application. In this case, the session was ended by a failure, resulting in a reset of the communication as well as ~~of~~ the application due to the occurrence of an  
20 undervoltage of CD changer 2. Therefore, the constituent component has the meaning "failure in the slave," and can be signaled by a flag or another sign.

25 In another case, if the application in the prior session, i.e., in the prior contiguous time period having a valid 1:1 connection between radio 1 and CD changer 2, was abandoned normally, for example, through a switch-off command, the content of the constituent component could have the meaning  
30 "OK."

If CD changer 2 is on the network for the first time, then the content of constituent component could have the meaning "new." A sign of this type is important for a basic initialization  
35 after a replacement or a re-installation, or for a diagnosis after a fatal failure.

In the transmission of the prehistory, it is important to note that the start-up info exclusively reflects the point of view of the slave. Only a comparison with the data stored in the master makes possible a final statement concerning the prehistory of the system.

a) It is generally true that application and communication are equally initialized only in the component that ~~carries out~~<sup>performs</sup> a reset during the operation. On the other hand, in the connected component, here radio 1 as master, only a reset of the communication is carried out. The reset is necessary to synchronize the 1:1 communications connection once again. The application of the master is normally not directly affected by the reset of other components.

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software failure, or the like, which would be important, for example, for a system diagnosis, or whether it is truly new to the network. In this case, a specific configuration ~~sequence~~ <sup>sequence</sup> ~~could occur~~, which is explained further below in an exemplary embodiment, <sup>could occur</sup>

In summary, the method according to the <sup>Present</sup> invention, in this exemplary embodiment, makes ~~possible~~ <sup>Possible</sup> an event-oriented flagging or memory function of the components and contains the following mechanisms.

Immediately after the successful (re)setup of the 1:1 connection to the master, as a first application message, a slave automatically transmits to every master associated with it a special information message having status information ("start-up info") in the following cases:

- after the successful local initialization or local reset of the communication layers and
- after a successful reset of the communication layers by the master.

In this context, it should be taken into account that fundamentally either -- master or slave -- can begin reciprocal communication independent of its hierarchical embedding in the overall system of the network.

Since the prehistory can be derived from the master on the basis of the start-up info of the slaves, additional possibilities for a network-wide diagnosis are generated.

In the following second exemplary embodiment, a further system ~~is assumed, which~~ is composed of a master component of any type and two slave components of any type, and, in a further transformation described below, three slave components of any type.



Initially, in addition to the master, two further components A, C -- slaves of the master -- are located in the network. A further component B is known to the master, but it is not (yet) connected.

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The method <sup>Present</sup> according to the invention can be described for this system <sup>in accordance with</sup> following Figure 2.

10 Depicted by way of example is a network status table, stored in the nonvolatile memory of the master, having configuration, connection, and application states of the known slave.

known slaves	network configuration	connection status	application status
component A	"present"	"connection set up"	"application on"
component B	"not available"	"no connection"	"not available"
component C	"present"	"connection set up"	"application off"

20 The network status table was kept as simple as possible for the purpose of better intelligibility. Normally, a table of this type contains far more information, e.g., configuration data for the individual connections.

25 For reasons of simplicity, this example only cites the application main states "on" and "off" or "not available." In addition to the information in this network status table, the master also stores its own prehistory.

30 In a modification of the aforementioned example (reconnection of a slave), the master, after a connection setup, receives the following "start-up info" from component B:

B to master: "B for the first time in the network, application state 'off'."

The master, on the basis of this information, can start a special configuration sequence. The network status table then is changed as follows:

known slaves	network configuration	connection status	application status
component A	"present"	"connection set up"	"application on"
component B	"present"	"connection set up"	"application off"
component C	"present"	"connection set up"	"application off"

From this time point on, the master is in a position to start the application of component B and to control all available functions.

In the following, an exemplary failure case is depicted.

In a further modification of the aforementioned system state, the master, after a fresh connection setup of components A and C, receives the following "start-up information":

A to master: "A was already in the network, application 'off'."

C to master: "C is in the network for the first time, application 'off'."

After a comparison with the stored information, the master can now once again directly reconstruct the prior state through

selected application messages (in this simple example, only one message is necessary):

Master to A: "Application 'on'."

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Furthermore, the master can determine that component A has <sup>performed</sup> ~~carried out~~ a "warm start" and component C has even <sup>performed</sup> ~~carried out~~ a "cold start," since component C is already present in the network. This data can be managed using special failure counters and can be evaluated by diagnosis software.

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Although the present invention was described above on the basis of <sup>Advantageous</sup> ~~preferred~~ exemplary embodiments, it is not limited to them, but rather can be modified in many ways.

Thus the method according to the present invention can also be employed for more complex systems, in which the master of <sup>at least one</sup> ~~one~~ ~~or a plurality of~~ slaves is in turn the slave of master on a higher plane.

It is likewise applicable in systems in which <sup>at least one slave</sup> ~~one or a plurality of~~ slaves is assigned to more than only one master.

Also, the method according to the present invention can be used in networks having client-server architectures, the client generally taking over a certain master functionality.

Furthermore, in monitoring and failure diagnosis systems, it can, in principle, be used in many technical, networked devices. In particular, in the automotive area, the most various application possibilities arise, in this context, in failure monitoring and failure diagnosis by networked electronic control units.

Similarly, the communications connections between the components can be realized by wireless connections.

1	first logical component, master (radio)
2	second logical component, slave (CD changer)
3	communications connection
4	non-volatile memory
5	preferably non-volatile memory
6	watchdog telegram